

# ANNUAL REPORT 2011

---

## AAF Inc. Contacts

### Enquiries

[enquiries@aaf.edu.au](mailto:enquiries@aaf.edu.au)  
[www.aaf.edu.au](http://www.aaf.edu.au)

### Support

[support.aaf.edu.au](mailto:support.aaf.edu.au)

### Executive Committee

**Paul Sherlock** President, AAF Inc.  
[paul.sherlock@unisa.edu.au](mailto:paul.sherlock@unisa.edu.au)

### AAF Inc.

**Heath Marks** Manager, AAF Inc.  
[heath.marks@aaf.edu.au](mailto:heath.marks@aaf.edu.au)



**Australian Government**  
**Department of Industry  
Innovation, Science, Research  
and Tertiary Education**

The Australian Access Federation is supported by the Department of Industry, Innovation, Science, Research and Tertiary Education.

# Table of Contents

President's Report	03
--------------------	----

Executive Committee	04
---------------------	----

The Year In Review	06
--------------------	----

Service Development	12
---------------------	----

Marketing and Communication	13
-----------------------------	----

The Year Ahead	15
----------------	----

2011 AAF Subscribers	16
----------------------	----

Income Statements	17
-------------------	----



Paul Sherlock, President, Australian Access Federation

## President's Report

This is the third Annual Report of the Australian Access Federation (AAF) Inc.

In a very short space of time the AAF has achieved three major objectives.

Firstly, a Federation has been established and a critical mass of subscribers has been achieved. Indeed by the end of 2011 the AAF had 67 subscribers including all Australian universities and CSIRO. Effectively this means over one million identities are now available to service providers via the AAF.

Secondly, and just as importantly, the Federation has been built on a financially sustainable foundation and from 1 January 2012 it will be operating solely on the basis of subscriber revenue. This is a crucial achievement and it will ensure that the AAF will be an enduring feature of the Australian research and education landscape for many years to come.

Thirdly, the value proposition of the AAF is both compelling and growing with 68 services already connected and accessible to AAF subscribers. It is clear that the breadth and depth of services available through the AAF will grow quickly in the next 12 months as the investments in data storage, cloud nodes, virtual laboratories and eResearch tools being made by Super Science funded projects such as RDSI and NeCTAR come on stream.

Looking forward the AAF Executive Committee has formulated a business plan which identifies a number of development activities that must be undertaken if the AAF is to continue to meet the emerging federated identity needs of the entire Australian Research and Education community. These development activities include expanding the services available to subscribers, expanding the subscriber base and enhancing the core technologies upon which the Federation depends.

While the AAF is now financially sustainable it does not currently have the resources required to undertake these development activities and therefore the AAF Executive Committee is actively pursuing additional funding opportunities to support these development activities.

The success of the AAF is dependent on the efforts of many people and I'd like to sincerely thank all those who have supported our activities during 2011.

I would particularly like to thank all members of the AAF Executive Committee for their contribution throughout 2011. Their energy, expertise and advice has once again proved invaluable. I would also like to thank Kate Hurford from the Department of Industry, Innovation, Science, Research and Tertiary Education (DIISRTE) for her ongoing support of the AAF. DIISRTE's financial support during the establishment phase of the AAF was critical and the Department's ongoing commitment to success of the AAF has been appreciated by the Executive Committee. The continued support of QUT in managing the grant over the past year on behalf of CAUDIT is also acknowledged.

I would like to thank the IT leaders in our subscriber organisations. Setting the identity management groundwork to join Australia's Research and Education Federation in some of these organisations has not always been straightforward and it is a testament to their outstanding leadership that all Australian universities and CSIRO are now AAF subscribers.

I would also like to acknowledge the leadership, hard work and good humour of Heath Marks and his small team of staff. Their enduring commitment is pivotal to the success of the Federation.

The AAF is operating on a strong foundation and has a bright future. It is an exemplar shared service of which we can all be proud.

**Paul Sherlock**  
President, Australian Access Federation Inc.

# Executive Committee

The Australian Access Federation Inc. is governed by an Executive Committee.  
The Members of the Executive Committee are:



**Mr Paul Sherlock**  
President

Paul has been Director of Information Strategy and Technology Services at the University of South Australia (UniSA) for the past ten years. Prior to joining the University, Paul held senior ICT management roles at the Defence Science & Technology Organisation (Adelaide) and BHP Billiton (Whyalla). Paul has been President of CAUDIT since April 2010 and is the author of the complexity index which is used to help make meaningful comparisons of benchmarking data across the Australia and New Zealand Higher Education sector. Paul has been Director and Chair of SABRENet Ltd since its formation in September 2006. He is a Member of the Australian National Data Service (ANDS) Steering Committee, the AARNet Advisory Committee, the NeCTAR Project Board and the Australian eResearch Infrastructure Committee (AeRIC). Paul is also the Project Director for the \$37M Super Science funded National Research Network Project. Paul has formal qualifications in Engineering (Electrical), Computing, Business (MBA), and Finance and is a chartered professional member of the Institution of Engineers and a graduate member of the Australian Institute of Company Directors.



**Mr David Toll**  
Vice President

David has worked with CSIRO for 9 years where he is Chief Information Officer with responsibility for IT, eResearch and library functions. Previously David held the positions of Chief Financial Officer and General Manager, Finance. David is a member of the VERNET Board, the Australian National Data Service (ANDS) Steering Committee, the National Computational Infrastructure (NCI) Board, the Pawsey Centre Steering Committee and is a Certified Practising Accountant.



**Mr Marc Bailey**  
Treasurer

Marc is an experienced information technology professional with a strong background in theoretical and applied software engineering. Marc's career has included international roles in the healthcare, defence, financial, mining and telecommunications sectors. Now patriated to the Higher Education sector as Chief Information Officer at Macquarie University, Marc brings a range of vendor and private enterprise experience to this position. Marc has won recognition for innovation during his career, including: the 2008 Sir Rupert Hamer Award for Advancements in Recordkeeping across Victoria for design and delivery of the first VERS2 compliant electronic content management software technology; 2009 election to the Executive Committee of the EU Forum developing the European Model Requirements for the Management of Electronic Records; and the 2011 Ventana Research Location Intelligence award.



**Mr Bruce Callow**  
Secretary

Bruce is the Director of Information and Communication Technology Services for Griffith University, a position he has occupied since January 2009. Previous to this, Bruce was the Associate Director of Enterprise Information Systems for Griffith. During this period he was on the Board of Directors for the global Higher Education Users Group and Chair of the ANZ Higher Education Users Group. Bruce has been an IT professional for over 30 years and his varied background includes 14 years as an Army Officer in various IT related roles, a number of years as an IT Manager in large Local Government Authorities and as a business manager with AWA Computers.



**Mr Richard Northam**  
Public Officer

Richard is the General Manager of CAUDIT. Richard has more than 20 years experience in the ICT industry and has worked in the Private, Commonwealth Government and Higher Education Sectors in Australia. Throughout his career, Richard has taken a strategic leadership role in shaping and enhancing the role of Information Technology within various organisations. More recently he has played a key national and international leadership role in enhancing research through the use of new methodologies and development of eResearch infrastructure that are enabled by Information Technology. Richard recently co-authored an article in EDUCAUSE Review on "Cloud Computing and the Power to Choose" and sits on the board of EDUCAUSE which is a US based association which represents the best thinking in higher education IT. Richard holds a Bachelor of Engineering (Electronics) and is a Member of the Australian Institute of Company Directors.

## Member at Large

Mr John Parry	University of Tasmania
Mr Garry Trinder	Edith Cowan University

## Co-Opted Non-Voting Member

Ms Kate Hurford	Department of Industry, Innovation, Science, Research and Tertiary Education
-----------------	--

# The Year In Review

The Australian Access Federation (AAF) was initiated by the Council of Australian University Directors of Information Technology (CAUDIT) in December 2008 to provide an access Federation for organisations and institutions which undertake or support education, research or research and development in Australia.

With 67 subscribers and more than a million federated identities the AAF has reached critical mass. The current portfolio of services, supporting both teaching and learning and research, available to the AAF's subscribers, is growing rapidly.

The core value proposition of the AAF is that as a shared service for the research and education sector it minimises the cost and effort for each individual subscriber of managing federated identity. Without the AAF, for example, individual institutions would have to negotiate a series of unique one-on-one arrangements to provide access for their staff and students to inter-institutional services. Similarly, individual institutions would have to negotiate one-on-one agreements with a range of different providers (or operators) for access to national eResearch infrastructure such as research data storage (RDSI), virtual laboratories (NeCTAR), eResearch tools (NeCTAR) and instrumentation (eg. the Australian synchrotron). Finally, a national Federation provides the capability for collaboration on a global scale through the interconnection of national federations. The AAF is now a significant and sustainable part of the Australian eResearch infrastructure landscape.

During 2011 the AAF was funded by both a grant from the Department of Innovation, Industry, Science and Research (DIISR) and revenue from subscription fees, which was introduced from 1 January 2011. The DIISR grant completed 31 December 2011 and from 1 January 2012 the AAF will be operating entirely from its subscription income.

## ***A snapshot of the last twelve months:***

### **Subscriber Growth - 100% of Australian Universities**

As of 31 December 2011 the AAF had 67 subscribers. The AAF's subscribers consist of all 39 Australian universities, CSIRO, a number of research support organisations, a small number of organisations that provide products and services to the sector and four New Zealand universities. Figure 1 shows the very strong subscriber growth between 1 January 2010 - 31 December 2011. The growth in subscribers has now stabilised consistent with the fact that all Australian universities and key research organisations are now subscribers of the Federation. Figure 1 also shows the mix of identity and service providers in the Federation. It is expected that these proportions will continue to change over time as many of the current identity providers also take up a role as service providers.

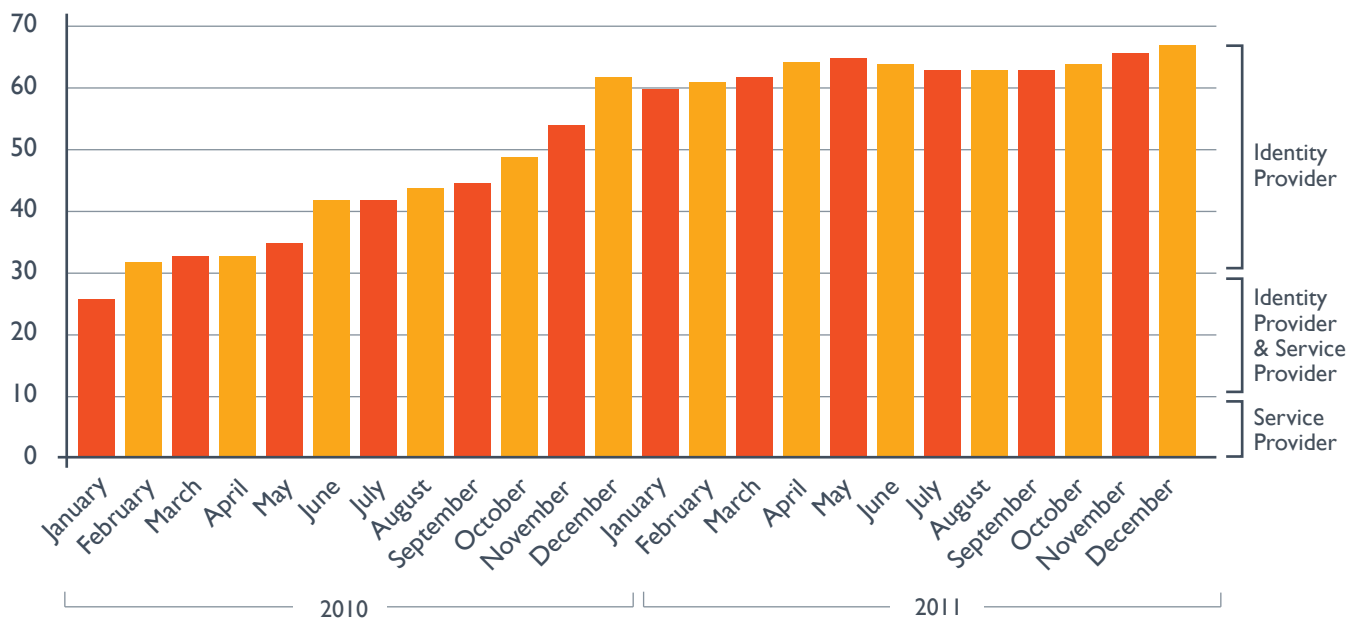
Reaching a critical mass of subscribers for self sustainability during 2011 was underpinned by ongoing activities aimed at communicating the value proposition of the Federation to the research and education sector.

### **Service Growth**

The achievement of a critical mass of subscribers (in particular identity providers), as shown in Figure 1, has proved to be a catalyst for subscribers to connect their services to the Federation. Services connected to the Federation can now be made available to all Australian universities and research organisations, including CSIRO.

Services registered in the Federation support both teaching and learning and research and include services for data storage, short lived certificates, scientific instruments, virtual lab equipment, scholarly resources, and on-line collaboration tools as well as eResearch portals. As of 31 December 2011, 68 services were registered in the Federation.

The mini-grant scheme funded by the DIISR grant completed in 2011. In total 12 new services supporting research, teaching and learning were successfully added to the Federation as a result of this scheme.



**Figure 1:** Subscriber Growth January 2010 - December 2011

## Subscription Fees

The Subscription Model took effect from 1 January 2011. Subscription fees applied to existing and new subscribers from this time. A 3 year service provider pricing plan was approved by the AAF Executive Committee in late 2011 to encourage organisations that provide products and services to higher education and research to subscribe to the AAF.

## Service Usage

Figure 2 outlines the AAF top ten services, including top 5 users, from 1 January 2011 to 31 December 2011.



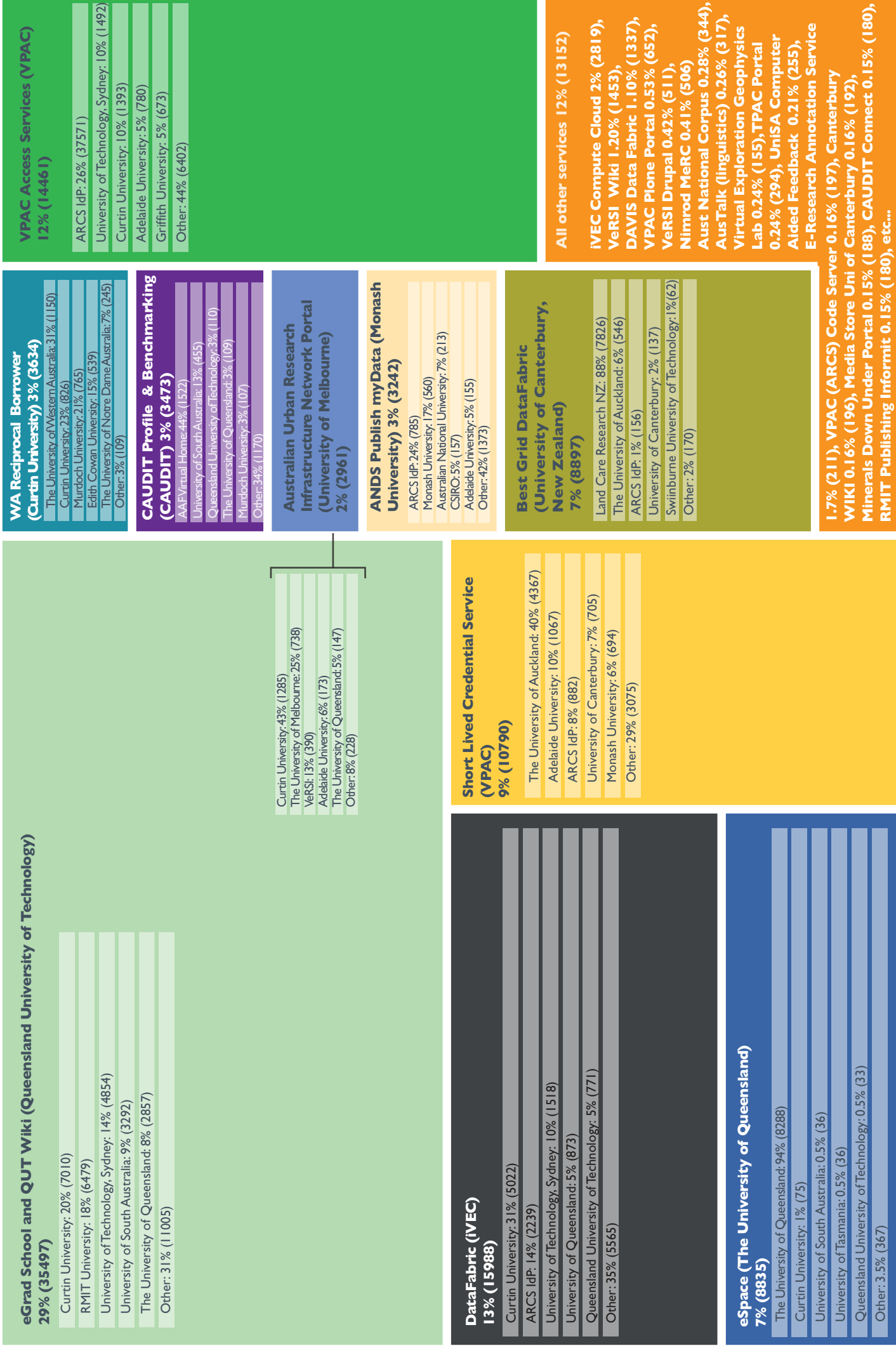


Figure 2: AAF Top Ten Services, Including Top 5 Users: 1 January 2011 to 31 December 2011 (Total 120,931 Sessions)



***"Thank you for your  
pre-emptive support –  
don't think I've ever seen an  
organisation offer the solution to  
a support request we hadn't  
even lodged yet!"***

**Ben Greenwood – Technical Lead, IT Services  
Australian National Data Service**





### **Annual Compliance Program**

The AAF commenced its Annual Compliance Program in 2011.

All subscribers were required to submit their first annual compliance statement confirming that their systems and processes were fully compliant to the Federation Rules by 30 June 2011.

### **Technical Support**

The AAF continued to provide technical support to its diverse subscriber base through its support desk, good practice sessions, training on request and documentation published on a new technical information website. In 2011, there were 379 requests for support all of which were resolved.

### **Infrastructure Development**

A new version of the Federation Registry tool was released with increased functionality to allow subscribers who use the AAF Where Are You From (WAYF) service to run utilisation reports. This utilisation data helps subscribers to optimise their use of the Federation through reports that show what services their identity provider is connecting to, the number of sessions generated as well as what identity providers their services are being accessed by. A number of countries have since commenced evaluating the tool for use in their own federations.

### **Stakeholder Engagement - Identity Management Community of Practice**

The first meeting of the Identity Management Community of Practice was held in October 2011. Representatives from 12 universities and 3 research / research support organisations attended the meeting to discuss Levels of Assurance. Good practice sessions in relation to configuration, operation and security of systems were also carried out by the Technical Manager with individual subscribers.

### **International Engagement**

The AAF engaged in a number of initiatives, meetings and other activities in order to further international collaboration with overseas federations. AAF staff met regularly with their international counterparts on both a formal and informal basis to discuss issues of common interest, including both technical and policy issues.

The AAF also continued to assist the New Zealand Federation, Tuakiri, where appropriate, during its start-up phase.



***"Federating the service has streamlined account creation and login processes.***

***For users, it's a near-seamless experience."***

**Roy Duncan, telescope-net  
AAF Mini-grant Recipient**



# Service Development

Funded by the DIISR Grant (\$404,671), the AAF mini-grant scheme served as an important source of funding to assist service providers to connect research based services to the Federation. The scheme awarded twelve mini-grants from three rounds of applications received in 2009/2010 to stimulate development and deployment of AAF enabled services. Two projects were completed in 2010. The remaining ten projects completed in 2011. As a result of the mini-grant scheme 12 services were connected to the Federation. These services are listed in Table 1.

The popularity of the AAF mini-grant scheme was evidenced by ongoing enquiries from institutions about potential funding opportunities after the scheme closed.

Recipient	Grant Activity	Amount
<b>Round 1</b>		
CSIRO	AuScope Grid Portal Infrastructure	\$37,000
<b>Round 2</b>		
Monash University	ARCS' Nimrod Service	\$40,000
Queensland University of Technology	Advanced Shibboleth Integration with Blackboard	\$39,600
RMIT Publishing	Informit on line scholarly resources	\$36,000
Tasmanian Partnership of Advanced Computing	TPAC Digital Portal	\$40,000
University of Queensland	Phenomics Ontology Data Driven Repository	\$35,271
University of South Australia	CAUDIT University IT Benchmarking System	\$20,000
<b>Round 3</b>		
Flinders University	AusStage for performing arts	\$40,000
University of Queensland	Authenticated Annotation Services for E-Research (AASER)	\$39,700
University of Southern Queensland and Automated Patrol Telescopes Australia	telescope-net online telescope	\$37,500
University of Technology Sydney	Labshare virtual labs	\$30,000
Australian Research Collaboration Services	Sakai learning management system	\$9,600
	Total:	\$404,671

**Table 1:** Mini-grant projects completed and services connected to the AAF

## Federating Services Workshops

A key focus for the AAF is to continue to support our subscribers by providing skills development and knowledge building. In 2011 training was generally undertaken on request. A one day workshop titled 'Federating eXtreme Services: A Skills Development Workshop' was held as part of the eResearch Australasia 2011 conference and will form the basis of a formal training program to be developed for 2012.

## Connecting Services Survey

A survey to understand our subscribers' experiences with connecting services to the AAF was sent to 67 recipients in June 2011. An overall response rate of 67% was achieved. A number of actions were initiated in response to the results, including the release of a new technical information website, a review of all technical documentation and the inclusion of a reporting module into the latest version of the Federation Registry tool.

# Marketing and Communication

A communication strategy was developed to engage key stakeholders and to promote knowledge dissemination and communication within the AAF community.

A variety of communication channels, including the AAF website, e-newsletters, conferences, email and social media networks, form part of this strategy.

## Conferences

The AAF had a presence at the following conferences and key industry meetings during the year:


- Research Infrastructure Roadmap Expert (RIRE) Working Group
- CCA-EDUCAUSE Australasia 2011
- REFEDS Meeting Czech Republic (May) and Finland (September)
- TERENA Networking Conference (Czech Republic)
- eResearch Australasia 2011: eXtreme eResearch
- JANET Moonshot Technology Pilot Workshop (United Kingdom)

## Website

The AAF website is located at [www.aaf.edu.au](http://www.aaf.edu.au). The website recorded 5,264 unique visitors in 2011 compared to 5,173 unique visitors in the previous year.

## News Items

In 2011 the AAF released news articles through the AAF website, the eResearch newsletter and social media networks. One issue of the AAF Newsletter aimed at all subscribers was published during the year. Five AAF Technical Newsletters were published from July to December to target this specific group to communicate topics of interest and provide some practical information, updates, tips etc.



***"If it weren't for the AAF we would have to manually enrol every user who wanted to make use of CloudStor, which would be absolutely detrimental"***

**Guido Aben - Director eResearch  
AARNet Pty Ltd**



# The Year Ahead

In the year ahead the AAF will focus on implementing the following key activities set out in the 2012 Business Plan. A full copy of the business plan can be found at <http://www.aaf.edu.au/businessplan2012>.

**1. Operational support services** to support existing subscribers to gain value from their subscription (AAF Service Desk, Knowledge Base and technical documentation, assisting subscribers identify services of value to the Federation, assisting with best practice and technical architecture support on request). The AAF will also continue to assist new organisations to subscribe (policy advice, technical support and presentations on demand). The AAF will continue to work with publishers and the vendor community where there is significant benefit to have their services as part of the AAF.

**2. Compliance support activities** associated with the Federation Rules and annual Compliance Statements.

**3. A core support model** will be implemented for operational support and infrastructure hosting.

**4. CAUDIT Inc operational support** will continue throughout 2012.

**5. Key marketing and communication** activities that focus on promoting the AAF's value proposition will continue.

**6. Federating common services training workshops** will commence and be operated on a cost-recovery basis.

**7. Enabling cross border access** via a pilot of co-federation with the New Zealand Federation.

**8. Ongoing technology maintenance** of the Federation software stack (application patching, security bug fixes and minor enhancements to the Federation Registry).

In addition to these ongoing activities, additional funding will be sought to further develop the Federation to support the services being developed by Super Science projects such as National eResearch Collaboration Tools and Resources (NeCTAR) and Research Data Storage Infrastructure (RDSI).



# WHO IS A SUBSCRIBER TO THE AUSTRALIAN ACCESS FEDERATION

Curtin University  
Edith Cowan University  
Murdoch University  
The University of Notre Dame  
The University of Western Australia  
eResearch SA  
Flinders University  
The University of Adelaide  
University of South Australia  
New Zealand  
Auckland University of Technology  
Landcare Research  
Lincoln University  
The University of Auckland  
University of Canterbury

Australian Academic and Research Network (AARNet)  
Australian Catholic University  
Australian Institute of Marine Science (AIMS)  
Australian Nuclear Science and Technology Organisation (ANSTO)  
Commonwealth Scientific and Industrial Research Organisation (CSIRO)  
Council of Australian University Directors of Information Technology (CAUDIT)  
Intersect Australia Ltd  
National ICT Australia Limited (NICTA)  
Open Universities Australia (OUA)  
Queensland Cyber Infrastructure Foundation (QCIF)  
QUESTNet & QRNO

Charles Darwin University  
Deakin University  
La Trobe University  
Monash University  
RMIT University  
Swinburne University of Technology  
The University of Melbourne  
University of Ballarat  
Victoria University  
Victorian eResearch Strategic Initiative (VeRSI)  
Victorian Partnership for Advanced Computing (VPAC)  
Australian National University  
University of Canberra

Bond University  
Central Queensland University  
Griffith University  
James Cook University  
Queensland University of Technology  
The University of Queensland  
University of Southern Queensland  
University of the Sunshine Coast  
Charles Sturt University  
Macquarie University  
Southern Cross University  
The University of New South Wales  
The University of Sydney  
University of Newcastle  
University of New England  
University of Technology Sydney  
University of Western Sydney  
University of Wollongong  
Tasmanian Partnership for Advanced Computing (TPAC)  
University of Tasmania

Cambridge University Press  
EvolvingSpaces (VIC) Pty Ltd  
HighWire Press  
Ingram Library Services  
John Wiley & Sons Pte Ltd  
Masaryk University, Brno  
NetSpot  
RMIT Publishing  
TALIS Information Limited

# Income Statements

The operation of AAF Inc in 2011 was funded jointly by the Department of Innovation, Industry, Science and Research (DIISR) as part of a \$2,000,000 grant<sup>1</sup> awarded in June 2009 and AAF Inc subscriptions.

## INCOME STATEMENT (CONSOLIDATED) FOR THE YEAR ENDED 31 DECEMBER 2011

	AAF Inc. 2011 \$	QUT 2011 \$	Consolidated \$
<b>INCOME</b>			
AAF membership subscriptions	437,580		437,580
Bank Interest	16,400	25,780	42,180
Other income	60		60
<b>TOTAL INCOME</b>	<u>454,040</u>	<u>25,780</u>	<u>479,820</u>
<b>EXPENSES</b>			
Staff salaries & on-costs	37,546	265,208	302,754
General Operating Expenses	26,058	4,644	30,701
Conferences & Workshops	7,747	8,014	15,761
Contractors		130,120	130,120
Contributions to External Projects		519,469	519,469
Contributions to Internal Projects		30,000	30,000
Corporate Membership		2,062	2,062
Meeting Expenses	15,320		15,320
Non-Capitalised Equipment		6,044	6,044
Depreciation	1,743		1,743
Accounting fees	3,614		3,614
Audit fees	2,000	300	2,300
<b>TOTAL EXPENSES</b>	<u>94,028</u>	<u>965,860</u>	<u>1,059,888</u>
<b>PROFIT/(LOSS)</b>	<u>360,012</u>	<u>(940,080)</u>	<u>(580,068)</u>
<b>RETAINED FUNDS</b>			
Opening Retained Funds	-	943,535	943,535
Profit/(Loss)	360,012	(940,080)	(580,068)
<b>CLOSING RETAINED FUNDS</b>	<u>360,012</u>	<u>3,455</u>	<u>363,467</u>

<sup>1</sup> The grant continued to be managed by Queensland University of Technology (QUT) on behalf of the Council of Australian University Directors of Information Technology (CAUDIT Inc) for Australian Access Federation Inc.

**AUSTRALIAN ACCESS FEDERATION INCORPORATED**

**ABN 13 155 355 685**

**INCOME STATEMENT  
FOR THE YEAR ENDED 31 DECEMBER 2011**

	<b>2011</b>	<b>2010</b>
	<b>\$</b>	<b>\$</b>
<b>INCOME</b>		
AAF membership subscriptions	437,580	
Bank Interest	16,400	
Other income	60	
<b>TOTAL INCOME</b>	<u>454,040</u>	<u>-</u>
<b>EXPENSES</b>		
Staff salaries & on-costs	37,546	
General Operating Expenses	26,058	
Conferences & Workshops	7,747	
Meeting Expenses	15,320	
Depreciation	1,743	
Accounting fees	3,614	
Audit fees	2,000	
<b>TOTAL EXPENSES</b>	<u>94,028</u>	<u>-</u>
<b>NET SURPLUS (DEFICIT)</b>	<u>360,012</u>	<u>-</u>

**AUSTRALIAN ACCESS FEDERATION INCORPORATED**

**ABN 13 155 355 685**

**STATEMENT OF FINANCIAL POSITION  
AS AT 31 DECEMBER 2011**

	<b>2011</b>	<b>2010</b>
	<b>\$</b>	<b>\$</b>
<b>ASSETS</b>		
<b>Current Assets</b>		
Bank - Operating a/c	27,501	
Bank – Online Saver a/c	532,590	
Term Deposit	10,000	
Membership Debtors	339,037	
Sundry Debtors	2,312	
<b>Total Current Assets</b>	<u>911,450</u>	<u>-</u>
<b>Non-Current Assets</b>		
Equipment at wdv	7,427	-
<b>Total Non-Current Assets</b>	<u>7,427</u>	<u>-</u>
<b>TOTAL ASSETS</b>	<u><u>918,877</u></u>	<u><u>-</u></u>
<b>LIABILITIES &amp; EQUITY</b>		
<b>Current Liabilities</b>		
Payables	7,072	
GST liability	46,695	
Prepaid Income	505,098	
<b>Total Current Liabilities</b>	<u>558,865</u>	<u>-</u>
<b>Non-Current Liabilities</b>		
<b>Total Non-Current Liabilities</b>	<u>-</u>	<u>-</u>
<b>TOTAL LIABILITIES</b>	<u>558,865</u>	<u>-</u>
<b>NET ASSETS</b>	<u><u>360,012</u></u>	<u><u>-</u></u>
<b>MEMBERS FUNDS</b>		
Retained Surplus	360,012	-
<b>TOTAL MEMBERS FUNDS</b>	<u><u>360,012</u></u>	<u><u>-</u></u>

This statement of financial position should be read in conjunction with the accompanying notes to the accounts.

**AUSTRALIAN ACCESS FEDERATION INCORPORATED**

**ABN 13 155 355 685**

**STATEMENT OF CHANGES IN EQUITY  
FOR THE YEAR ENDED 31 DECEMBER 2011**

	<b>Retained Earnings</b>	<b>Total</b>
	<b>\$</b>	<b>\$</b>
<b>Balance at 31 December 2009</b>	<hr/>	<hr/>
	-	-
Net surplus (deficit)	-	-
Transfers	-	-
<b>Balance at 31 December 2010</b>	<hr/>	<hr/>
	-	-
Net surplus (deficit)	360,012	360,012
Transfers	-	-
<b>Balance at 31 December 2011</b>	<hr/>	<hr/>
	360,012	360,012

**AUSTRALIAN ACCESS FEDERATION INCORPORATED**

**ABN 13 155 355 685**

**NOTES TO AND FORMING PART OF THE ACCOUNTS  
FOR THE YEAR ENDED 31 DECEMBER 2011**

**NOTE 1: SIGNIFICANT ACCOUNTING POLICIES**

The principal accounting policies adopted in the preparation of the financial report are set out below. These policies have been consistently applied to all years, unless otherwise stated.

**Basis of Preparation**

The Committee has determined that the Association is not a reporting entity because there are no users dependent on a general purpose financial report.

The financial report is a special purpose financial report prepared for the purposes of complying with the NSW Associations Incorporation Act 2009 and to satisfy the financial reporting requirements of the constitution and to meet the needs of the members of the Association.

The financial report has been prepared in accordance with the recognition and measurement requirements specified by the Australian Accounting Standards and Interpretations issued by the AASB and disclosure requirements of the following Australian Accounting Standards:

AASB 1048 Interpretation and Application of Standards  
AASB 1031 Materiality  
AASB 110 Events after the Reporting Period

The financial report is prepared on an accruals basis and is based on historical costs and does not take into account changing money values or, except where stated, current valuations of non-current assets. Cost is based on the fair values of the consideration given in exchange for assets.

The following is a summary of the principal accounting policies adopted by the Association in the preparation of the financial report.

- (a) Income Tax:**  
The income of the Association is exempt from income tax under Division 50-5 of Income Tax Assessment Act 1997
- (b) Income In Advance**  
Income received for subscriptions from members and events which will occur in relation to the subsequent financial year are treated as income in advance
- (c) Income**  
Interest revenue is recognised on a proportional basis taking into account the interest rates applicable to the financial assets.
- (d) Goods & Services Tax**  
Revenues, expenses and assets are recognised net of the amount of GST, except where the amount of GST incurred is not recoverable from the Australian Taxation Office. In these circumstances the GST is recognised as part of the cost of acquisition of the asset or as part of an item of the expense. Receivables and payables in the Statement of Financial Position are shown inclusive of GST.
- (e) Equipment**  
Equipment is measured on the cost basis less depreciation and impairment losses.  
Depreciation is calculated on a straight-line basis, over the useful lives of the assets to the Association commencing from the time the asset is held ready for use.  
The carrying amount of equipment is reviewed annually by the Association to ensure it is not in excess of the recoverable amount from those assets. The recoverable amount is assessed on the basis of the depreciated replacement cost of the asset.  
Equipment that has been contributed at no cost, or for nominal cost, is valued at the fair value of the asset at the date it is acquired.

**AUSTRALIAN ACCESS FEDERATION INCORPORATED**

**ABN 13 155 355 685**

**NOTES TO AND FORMING PART OF THE ACCOUNTS  
FOR THE YEAR ENDED 31 DECEMBER 2011**

**NOTE 1: SIGNIFICANT ACCOUNTING POLICIES CONTINUED**

- (f) **Impairment**  
At each reporting date, the Association reviews the carrying values of its tangible and intangible assets to determine whether there is any indication that those assets have been impaired. If such an indication exists, the recoverable amount of the assets, being the assets depreciated replacement costs, is compared to the assets carrying value. Any excess of the assets carrying value over its recoverable amount is expensed in the income statement.
- (g) **Staff Leave Entitlements**  
Liabilities for staff salaries and staff leave entitlements expected to be settled within 12 months of the reporting date are recognised in current liabilities in respect of staff services up to the reporting date and are measured at the amounts expected to be paid when the liabilities are settled.
- (h) **Comparative Figures**  
When required by Accounting Standards, comparative figures have been adjusted to conform to changes in presentation for the current financial year.
- (i) **Critical Accounting Estimates and Judgments**  
The committee members evaluate estimates and judgments incorporated into the financial report based on historical knowledge and best available current information. Estimates assume a reasonable expectation of future events and are based on current trends and economic data, obtained both externally and within the Association.

**NOTE 2: ASSOCIATION DETAILS**

The Australian Access Federation Incorporated is an incorporated association under the New South Wales legislation Associations Incorporation Act 2009.

The registered office of the Association is 10 Nyora Place, Jerrabomberra, 2619, NSW.

The principal place of business of the association is Building 9, Banks Street, Yarralumla, ACT

**NOTE 3: Events subsequent to reporting date**

Since the end of the financial year there have been no material post balance date events that could affect the financial position and performance of the Association.

The financial report was authorised for issue on the date the Committee Report was signed and dated.

**AUSTRALIAN ACCESS FEDERATION PROJECT  
QUEENSLAND UNIVERSITY OF TECHNOLOGY (QUT)**

**STATEMENT OF FINANCIAL PERFORMANCE  
FOR THE PERIOD 01 JANUARY 2011 TO 31 DECEMBER 2011**

	\$
<b>REVENUE</b>	
Commonwealth Grants	
Department of Innovation, Industry, Science & Research	-
Interest Income	25,779.56
<b>TOTAL REVENUE</b>	25,779.56
<b>EXPENSES</b>	
Advertising, Marketing & Promotional Expenses	672.06
Audit Fees	300.00
Conference and Travel Costs - Australia	6,429.21
Conference and Travel Costs - Overseas	1,584.81
Contractors - Professional	130,120.00
Contributions to External Collaborative Projects	519,469.00
Contributions to Internal Collaborative Projects	30,000.00
Corporate Memberships	2,062.00
Employee Benefits & On Costs	265,207.57
Fringe Benefits Tax	431.80
General Consumables	220.90
Legal Fees & Costs	650.00
Non-Capitalised Equipment	6,043.52
Postage, Freight & Courier	212.75
Printing & Stationery	871.52
Rental, Hire & Other Leasing Fees	268.45
Telecommunications	1,316.05
<b>TOTAL EXPENSES</b>	965,859.64
<b>PROFIT/(LOSS)</b>	(940,080.08)
<b>RETAINED FUNDS</b>	
Opening Retained Funds	943,535.38
Profit/(Loss)	(940,080.08)
<b>CLOSING RETAINED FUNDS</b>	3,455.30





